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Section

FORM 19b-4(e)

Washington, D.C. 20549

NOV 1 3 2018

Information Required of a Self-Regulatory Organization Listing and Vesting and Vesting Company Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Choe EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached. Class of New Derivative Securities Product: 3. See Attached. Name of Underlying Instrument: 4. See Attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument 7. Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): 18011155 Not Applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Securities and Exchange Commission Anders Franzon Trading and Markets Title: SVP, Deputy General Counsel NOV 13 2018 Telephone Number: 913-815-7154 Manual Signature of Official Responsible for Form: genrities Exchange Act of 1934 Date: Section Rule November 9, 2018

Public

Availability:

NOV 1 3 2018

ATTACHMENT TO FORM 19b-4(e)

FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE EDGX EXCHANGE, INC. COMMENCING ON NOVEMBER 6, 2018

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument OCCUPY Trades	(5) Broad or Narrow
PAWZ	Trust	Investment Company Units	FactSet Pet Care Index	NOV 1 3 2018 Washington DC 406 World	Narrow
DURA	Trust	Investment Company Units	Morningstar US Dividend Valuation Index	U.S.	Broad
GOAT	Trust	Investment Company Units	Morningstar Global Wide Moat Focus Index	World	Broad
DQML	Trust	Investment Company Units	Fundstrat Doctor Quant Model US Large Cap Equal Weighted Long Index	U.S.	Broad
FSMB	Trust	Investment Company Units	Debt Securities	U.S.	Broad
FUMB	Trust	Investment Company Units	Debt Securities	U.S.	Broad



SEC Mail Processing Section

NOV 1 3 2018

Washington DC 406

November 9, 2018

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Cboe EDGX Exchange, Inc.

Ms. Ransom.

On behalf of Cboe EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding six (6) derivative securities products that commenced trading on Cboe EDGX Exchange on November 6, 2018. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Deputy General Counsel

913.815.7154

Securities and Exchange Commission Trading and Markets

NOV 13 2018

RECEIVED